

Community Digital Sound Programme (C-DSP) licence

Application form – Part A (public)
Name of applicant (i.e. the body corporate that will hold the licence):
Spice Project Ltd
Proposed service name:
Spice Radio
Radio multiplex service(s) on which the proposed C-DSP service is to be provided (note this must be a small-scale multiplex area either previously advertised or <u>currently being advertised</u> by Ofcom as shown in the multiplex licence advertisement)

Newcastle and Gateshead

Public contact details (i.e. Contact name and/or company name, company address, telephone number(s) and email):

Mr Sandeep Kapoor

sandeep@spicefm.co.uk

Publication date: 1 June 2021

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1. Overview

You should complete this form if you are applying for a community digital sound programme licence ("C-DSP"). You can find further information about C-DSP services in the <u>guidance notes for licensees</u> and <u>applicants</u>.

This application form is divided into two parts – Part A (which we will publish on our website) and Part B (which will be kept confidential). This document constitutes Part A; Part B of the application form is available on our website.

If you encounter any issues using these forms, please contact broadcast.licensing@ofcom.org.uk.

The purpose of this form

- 1.1 You should complete this form if you are applying for a Community Digital Sound Programme (C-DSP) licence.
- 1.2 A digital sound programme service intended for broadcast by means of a local or small-scale radio multiplex service requires either a C-DSP licence or a local DSP licence. Ofcom's published guidance notes set out some of the key issues that potential applicants need to consider in deciding which type of licence is suitable for them. In summary, C-DSP services are not run for financial gain and are required to provide social gain. C-DSP licences therefore include strict conditions to ensure that happens, and provide less flexibility than a local DSP licence. However, they do provide access to capacity that small-scale radio multiplex service providers are required to reserve solely for C-DSP services.
- 1.3 A C-DSP licence will be required even if the same programme service is also provided on any other platforms (e.g. FM, satellite), as separate licences are required for those.
- 1.4 As noted above, small-scale radio multiplex services will have reserved capacity for C-DSP services. Issue of a C-DSP licence does not, however, guarantee carriage on a small-scale (or local) radio multiplex service. That is a matter for agreement between the C-DSP licensee and the multiplex service provider, and there may be more C-DSP licences issued in a locality than there are reserved slots on the small-scale radio multiplex service. Note that a C-DSP service does not necessarily have to broadcast using reserved capacity. It can use unreserved capacity on a small-scale radio multiplex service or capacity on a local radio multiplex service, again subject to agreement with the multiplex service provider.
- 1.5 An application for a C-DSP licence will be accepted only once Ofcom has advertised the licence for the small-scale radio multiplex service upon which the proposed C-DSP service is intended to be provided. There is no closing-date by which an application for a C-DSP licence must be submitted (i.e. it can be submitted at any time after the licence for the relevant small-scale radio multiplex licence has been advertised).
- 1.6 You can find further information about how to determine if a service requires a C-DSP licence in Section 2 of the guidance notes for applicants and licensees.

Provision of information

- 1.7 Ofcom requires complete and accurate information to assess applications. This is so that we can assess your application against statutory criteria, consider whether those involved in the body applying for a licence are 'fit and proper' to hold a licence, and determine whether their involvement with other organisations disqualifies them from participation in a licence.
- 1.8 It is an offence under the Broadcasting Act 1996 (as amended) to provide false information or withhold relevant information during the application process, and may be grounds for revocation of a licence subsequently granted.

Publication of information about applications and licensed services

- 1.9 Information provided in **Part A** of the application form will typically be published by Ofcom in our Monthly Radio Update publication the month following the submission of your application. This may take longer if the application is received late in the month. Information provided in **Part B** will not be published.
- 1.10 In submitting this application you agree that, should a licence be granted, Ofcom may publish contact details for the licensee (specified in Section 2 of Part B of the application form), which may include personal data, on the Ofcom website and/or in other relevant publications. If you have any questions about the information that we publish, or there are any changes to this information, you should contact the Broadcast Licensing team by email (broadcast.licensing@ofcom.org.uk).
- 1.11 Ofcom considers issued C-DSP licences to be public documents and copies of licences will be made available to third parties on request albeit, other than the Key Commitments which are tailored to the service, C-DSP licences are standard form documents. A brief description of the licensed service will be published on the Ofcom website, along with the Key Commitments which form part of the licence.
- 1.12 Ofcom publishes a <u>monthly radio licensing update</u> which lists new services licensed, new applications, licences revoked, licence transfers, and changes to licensed services during the past month.

Data protection

1.13 We require the information requested in this form in order to carry out our licensing duties under the Broadcasting Act 1990, Broadcasting Act 1996 and Communications Act 2003. Please see Ofcom's General Privacy Statement for further information about how Ofcom handles your personal information and your corresponding rights.

Keeping up to date with broadcasting matters

- 1.14 We strongly recommend that the appropriate person at the applicant body signs up to receive Ofcom's regular email updates on broadcasting matters including notification when the Broadcast and On Demand Bulletin is published.
- 1.15 To sign up to receive these communications, you must visit the email updates area of our website and select 'Broadcasting.'

2. Applicant's details

About this section

In this section we are asking you for details about the applicant company. This must be a body corporate which is not profit distributing.

In the first part of this section, we are asking for basic details about the applicant. These include company registration number and contact information.

In the second part of this section we are asking for details of the applicant's officers (directors or, in the case of LLPs, designated members), its shareholders and participants. Where applicable, we are also asking for details of the officers of the applicant's parent and associated companies or LLPs etc.

If any of the individuals named in your responses are known by more than one name/version of their name, all names must be provided.

Certain persons are disqualified from holding a C-DSP licence. This section asks the questions which enable us to consider this for those types of disqualification which apply specifically to bodies corporate. It also asks questions which are relevant to our assessment of the applicant's fitness and properness to hold a C-DSP licence.

Before completing this section of the form, you should read <u>Ofcom's guidance on the definition of 'control' of media companies</u>. Throughout this section, "control" has the meaning it is given in Part I of Schedule 2 of the Broadcasting Act 1990.

The response boxes and tables should be expanded or repeated where necessary, or provided in a separate annex.

'Officerships' in this section refers to: directorships of bodies corporate, designated memberships of LLPs, or membership of a governing body of an unincorporated association (including partnerships).

Applicant information and contact details

2.1 Name of applicant (i.e. the body corporate that will hold the licence):

Spice Project Ltd

2.2 Company registration number stated on Companies House:

06225556

2.3 For UK registered companies, the address of the applicant's registered office stated on Companies House.

For non-UK registered companies, the principal office address:

Unit 1 The Beacon, Westgate Road, Newcastle Upon Tyne, NE4 9PQ

2.4 If a UK registered company, is the **current** Memorandum and Articles of Association document available on the Companies House website?

Yes

If no, please submit the up to date document and indicate you have done so in the checklist in Section 4 of Part B.

2.5 Contact details of the individual duly authorised by the applicant for the purposes of making this application. This individual should be the company secretary, a director or (if an LLP) designated member.

(If you are an agent completing the form on behalf of the applicant please do not enter your details here – see paragraph 2.25 of the guidance notes).

Full name	Sandeep Kapoor
Job title	Director
Address	Unit 1 The Beacon, Westgate Road, Newcastle Upon Tyne, NE4 9PQ
Telephone	
Mobile phone	07771 565 836
Email	sandeep@spicefm.co.uk

2.6 If the proposed Licensed Service has/will have a website, please provide the website address below.

www.spicefm.co.uk

2.7 How will the service be financed? If the applicant is receiving, or is likely to receive, any form of funding and/or financial assistance to establish and maintain the service, please provide details of who is providing that funding/financial assistance and the extent of it.

If you are receiving funding from, or on behalf of, a source that could be considered a political organisation or a religious body, you must set out the nature of that organisation here.

Spice Project Ltd is funded by a combination of grant funding, advertising revenue and donations. We also have an income stream from events. We already have the funding in place and secured to join the service when it launches.

Ownership and control of the company which will hold the licence

Details of officers, participants and shareholders of the applicant

2.8 Complete the following table, expanding it if necessary, to provide the following details for each director or designated member of the applicant (i.e. the body corporate that will hold the licence):

Full name of individ- ual	Correspondence address ¹	Country of residence	Other officerships held (and nature of the business concerned)	Other employ- ment
Sandeep Kapoor	24 Nuthatch Close, Newcas- tle upon Tyne NE13 6NT	UK	Chai Events Ltd – Event Management Chai Properties Ltd – Property lettings Mango Indian Kitchen – catering Deluxe Entertainment Ltd – AV and entertainment	
Anu Kapoor	24 Nuthatch Close, Newcas- tle upon Tyne NE13 6NT	UK	Chai Properties Ltd – Property lettings	
Amit Bahanda	116 Kenton Lane, Newcastle Upon Tyne,	UK	ROSEFRAME LIMITED – property	

 $^{^{\}mathrm{1}}$ This should be the same address as is held and published by Companies House.

Tyne & Wear,	HAVENTIME LIMITED -	
NE3 3QD	development	

2.9 Complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the applicant ("participants"). If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

Full name of >5% partici-	Number of	Total invest-	Total invest-	% of voting
pant (existing and pro-	shares	ment (£s)	ment (%)	rights
posed)				
N/A				
Comments				

2.10 Complete the following table, expanding if necessary, to identify any entities with which the applicant is affiliated. By affiliated, we mean companies that are related through ownership, either with one company being a minority shareholder in the other, or through multiple companies being owned by a third party.

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of the entity	Address
N/A	

2.11 Complete the following table, expanding it if necessary, to list any bodies corporate which are controlled by the applicant, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of entity	Address	Affiliates
N/A		

Details of persons who control the applicant

2.12 Complete the following table, expanding it if necessary, to list all persons who control the applicant, together with their affiliates. If any persons or bodies control the applicant jointly because they act together in concert (e.g. because of a shareholder's agreement), each such person must be identified here:

(If this question is not applicable to the applicant please respond "N/A" in the table.)

Full name of individ- ual or body	Address	Affiliates
Sandeep Kapoor	24 Nuthatch Close, Newcastle upon Tyne NE13 6NT	
Anu Kapoor	24 Nuthatch Close, Newcas-tle upon Tyne NE13 6NT	
Amit Bahanda	116 Kenton Lane, Newcastle Upon Tyne, Tyne & Wear, NE3 3QD	

2.13 Complete the following table, expanding it if necessary, to list all officerships in other bodies that are held by any individual listed in response to question 2.12, and any affiliates of those bodies. An "officership" refers to being a director of a body corporate, designated member of a limited liability partnership, or member of the governing body of an unincorporated association:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of individual	Name of body in which of- ficership held	Affiliates of that body
Sandeep Kapoor	Chai Events Ltd Chai Properties Ltd Mango Indian Kitchen Ltd Deluxe Entertainment Ltd	N/A
Anu Kapoor	Chai Properties Ltd	N/A
Amit Bahanda	ROSEFRAME LIMITED HAVENTIME LIMITED	N/A

2.14 Complete the following table, expanding it if necessary, to list all bodies corporate which are controlled by any body corporate listed in response to question 2.12, and their affiliates:

(If this question is not applicable to the applicant please respond "N/A" in the table)

Full name of body corporate listed in 2.11	Body corporate controlled	Affiliates of body corporate controlled
N/A		

In relation to each body corporate identified in response to question 2.12, complete the following table, expanding it if necessary, to list all bodies which hold or are beneficially entitled to shares, or who possess voting powers, amounting to more than 5% in the body corporate concerned (i.e. "participants"). You may, but are not required to, exclude from this table any bodies listed in response to question 2.12. If you are unable to provide a complete answer to this question in relation to beneficial owners, please state whether you have any reason to suspect the existence of any beneficial owners.

(If this question is not applicable to the applicant please respond "N/A" in the table)

Name of body corporate identified in response to question 2.11	N/A			
Full name of >5% partici-	Number of	Total invest-	Total invest-	% of voting
pant	shares	ment (£s)	ment (%)	rights
N/A				
Comments				

Involvement of the applicant in specified activities

2.16 Please state below whether the applicant, or any of the directors, shareholders or other individuals named above, including their associates (i.e. directors of their associates and other group companies), is, or is involved in, any of the below, and the extent of that interest.

Activity/involvement	Yes or No	Please state who is involved; the name of the body/individual/agency they are involved with; and the extent of their involvement
A local authority	No	
A body whose objects are wholly or mainly of a political nature, or which is affiliated to such a body	No	
A body whose objects are wholly or mainly of a religious nature; ²	Yes	Sandeep Kapoor – Trustee of Newcastle Hindu Temple (Charity) – place of worship
An individual who is an officer of a body falling within (b) or (c);	No	
A body corporate which is an associate (as defined in paragraphs 1(1) and 1(1A) of Part I of Schedule 2 to the Broadcasting Act 1990) of a body falling within (b) or (c);	No	
An advertising agency or an associate of an advertising agency	No	

Details of applications, licences and sanctions

2.18 Is the applicant (i.e. the body corporate that will hold the licence) a current licensee of Ofcom?

Yes

² Please refer to Sections 3 to 5 of <u>Ofcom's religious guidance note</u> for details on how we determine the eligibility of religious bodies to hold certain broadcasting licences.

If yes, please provide the licence details expanding the table if necessary:

Licence num-	Name of multiplex
ber	
CR142	98.8 FM

2.19 Has the applicant (i.e. the body corporate that will hold the licence) held an Ofcom broadcasting licence before?

Yes

If yes, please provide the details expanding the table if necessary:

Licence number	Name of service or multiplex
CR142	Spice FM

2.20 Has anyone involved in the proposed service held an Ofcom broadcasting licence or been involved in an Ofcom-licensed broadcast service before?

Yes

If yes, please provide the details expanding the table if necessary:

Dates licence	Licence num-	Name of service or multiplex
was held or	ber (if known)	
dates of in-		
volvement		
2007 - pre-	CR142	Spice FM
sent	0.1.2.1.2	opice i iii
30110		

Does the applicant (i.e. the body corporate that will hold the licence) control an existing Ofcom licensee?

No

If yes, please provide the licence details expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.22	Is the applicant (i.e. the body corporate that will hold the licence) controlled by an existing
	licensee or by any person who is connected (within the meaning of Schedule 2 to the
	Broadcasting Act 1990) with an existing licensee (i.e. as a "participant")?

No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.23 Has the applicant – or any person(s) controlling the applicant - made any other application to Ofcom (or its predecessor broadcast regulators – the Independent Television Commission and the Radio Authority) for any licence which has since been surrendered by the licensee or revoked by Ofcom (or one of its predecessor regulators)?

No

If yes, please provide the following information, expanding the table if necessary:

Licence number	Name of service or multiplex
N/A	

2.24 Is the applicant – or any person(s) controlling the applicant - subject to any current or pending investigation by any statutory regulatory or government body in the United Kingdom or abroad in respect of any broadcast-related matter?

No

If yes, please provide the following details expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Details of the investigation
N/A		

2.25 Has the applicant – or any person(s) controlling the applicant – ever been subject to a statutory sanction for contravening a condition of a broadcasting licence in the UK or any other jurisdiction?

No

If yes, please provide the following details relating to each sanction expanding the table if necessary:

Licence number (or equivalent)	Name of service or multiplex	Nature of the breach	Sanction imposed	Date sanction imposed
N/A				

2.26 Has the applicant – or any person(s) controlling the applicant – ever been convicted of an unlicensed broadcasting offence?

No

If yes, please provide the following details:

Full name	Date of conviction/action (dd/mm/yy)	Penalty
N/A		

2.27 Please provide any further information you hold, relating to the past conduct of the applicant or those individuals listed, in regulatory matters or in matters going to honesty and/or compliance, which may be relevant to Ofcom's consideration of whether or not the applicant is fit and proper to hold a broadcast licence. If the applicant or the form signatory fails without reasonable excuse at this point to declare any matter of which Ofcom subse-

quently becomes aware, and which we do consider to be relevant to the applicant's eligibility to hold a licence, we will take it into account in determining the question of whether the applicant/licensee remains fit and proper to hold a licence.

If you have no information to provide, please respond "N/A".

N/A

3. The proposed service

About this section

This section asks you to describe your proposed service, including the Key Commitments you propose to include in your licence. This includes your service name, multiplex name and character of service, in addition to standard commitments that all C-DSP licensees need to abide by. Holders of an existing analogue community radio licence to be a simulcast on the proposed C-DSP service can replicate the existing analogue key commitments as it is our expectation that the key commitments for simulcast services are to be in keeping with one another. If a licence is granted, the information you provide in this section will be used to form the basis of the annex to your licence. You will only be authorised to broadcast what is detailed in the annex of the licence.

In this section, you will also need to set out how your service will provide social gain, community participation and how you will be accountable to the target community. This is in line with statutory requirements for the granting of C-DSP licences. **The information provided in this section is also the basis on which decisions are made.**

If you hold, or intend to hold, multiple C-DSP licences, the answers given in this section and the intended delivery of your Key Commitments must apply to the locality in which your proposed service will broadcast (as set out in the Draft Key Commitments in this application form).

Your proposed service and target community

3.1 What is the proposed service name?

Spice Radio

3.2 On which radio multiplex service do you intend to broadcast? If the relevant radio multiplex licence has not yet been awarded, please state the name of the area that the multiplex service is intended to cover, as defined in the multiplex licence advertisement.

Newcastle and Gateshead

3.3 Where is your proposed studio located? Please note that this must be located within the coverage area of the small-scale radio multiplex service identified in answer to 5.2 (or the advertised area for a small-scale radio multiplex service that has not yet been awarded).³

³ If you propose to provide your service on a local, rather than a small-scale, radio multiplex service, there is no requirement for your studio to be located within the licensed area of that local radio multiplex service.

Spice Radio	
Unit 2 The Beacon	
Westgate Road	
Newcastle upon Tyne	
NE4 9QB	

3.4 If the proposed service is a simulcast or corresponding service of an existing licensed radio service, please list that below and provide the licence number.

CR142

3.5 What is the target community of the service? Please include the geographical area that you wish to serve in addition to the interests or characteristics that define your target community. The area you wish to serve must be geographically located within the coverage area of the radio multiplex service on which you intend to broadcast. **Answer in fewer than 400 words**.

We aim to serve the BAME communities of Newcastle and Gateshead which are areas geographically located in the North East of England.

The BAME communities make up around 7.6% of the population out which the biggest group is the South Asian community, which is our main focus. This population has doubled between 2001 and 2009 in these respective areas.

We will provide a service to this community in variety of languages as well as English including the main South Asian languages of Urdu, Hindi and Punjabi.

The service will include a variety of diverse programming that will engage and interest our target community and will mirror our current concept of 'To educate, enlighten and educate where we present music and entertainment shows, talk shows, discussion shows and content that is informative and educational too.

3.6 How will you ensure that your proposed C-DSP service is run on a not-for-profit basis? Please give details of specific measures or arrangements in place to ensure this, and how any profit will be wholly and exclusively used for securing or improving the future provision of the service, or for the delivery of social gain. **Answer in fewer than 400 words.**

We are already set up as a company limited by guarantee and operate as a social enterprise. As per our articles of association and memorandum the organisation has no shareholders and is governed by a board of directors. Operating under this structure ensures that all funds are properly accounted for and any surpluses are invested back into the organisation. This can be further verified by our accounts that a available publicly at companies house

Social gain

3.7 What community benefits will your service bring to your target community(ies) and, if applicable, the general public. Please include summaries of evidence to support your answer, including details about other organisations you intend to work with. **Answer in fewer than**500 words. Please do not provide names of individuals in your answer.

The BAME communities are not proportionately catered for in the area for which we are applying for a license, infact there are currently no local broadcasters that broadcast content that is of relevance and interest to BAME communities, of which the South Asian community is the biggest portion.

Our locally produced content will benefit the South Asian community and other diverse communities as it will be a reflection of their own communities sound and voice. We will broadcast informative localised programming as well providing the community easy access to the service through our volunteering programme and other initiatives.

The service also aims to engage the general public to showcase different cultures from the local diverse communities. As many of our shows are in English they are very universal and can appeal to the community at large too. We believe this education about each other communities promotes social cohesion providing a social benefit

3.8 Please summarise how your service will facilitate discussion and the expression of opinion.

Answer in fewer than 200 words.

As part of our service we will be broadcasting content that rely on a large amount of listener participation. We will use talk based shows such as phones in's that will tackle issues locally, nationally and globally and also issues that particularly affect diverse communities that live in The UK. Discussion and debate will be encouraged both on air and online through our social media channels, and presented ultimately through our on air content.

3.9 How will you ensure that members of your target community(ies) can gain access to the facilities used to provide your service, and receive training in using these? In particular, please set out how this will be done practically, formally and/or informally. **Answer in fewer than 400 words**.

We have 2 main ways in which our community can access the facilities. Firstly, through volunteering. We are already currently running, and will continue to, run a volunteering programming where individuals who would like to be involved as a presenter or any other various on off air tasks at the station can apply. The process is easy and simple through a brief online form after which we arrange training and on boarding the individuals to take various roles at the station.

Secondly, our communities can access us through all modern-day communication methods and platforms of text or voice services through social media, email or telephone. Through this communication they are able to access the station directly and request content that they would like to hear on the station

3.10 How will your service provide better understanding of your target community and the strengthening of links within it? **Answer in fewer than 200 words**.

Through our content and participation by the community we will work closely with our community to forge solid relationships. We will engage with stakeholders, our community, and third party organisations to develop links and encourage better understanding.

3.11 Please summarise the relevant experience of the group or its members in activities related to the provision of social gain or other relevant non-broadcast areas (such as third sector, training or education). **Answer in fewer than 200 words**.

The team behind Spice project has been running as an organisation since 2007. The team has experience in social gain activities through the operation of the current community radio station; Spice FM, but also have been involved in social gain activities through events and outreach activities. An example includes our partnership with Newcastle Mela multicultural festival that brings together thousands of people from all communities in celebration of South Asian culture.

Participation

3.12 How do you propose to ensure that members of your target community(ies) are given opportunities to participate in the operation and management of the service? **Answer in fewer than 400 words**.

We operate under a tag line of 'By the community, for the community' and operate an open door policy of involving all parts of the community. Anyone who expresses an interest in management will be given every opportunity to do so and will be offered full training on both operational tasks as well as compliance and briefing on our mission statement. We currently work with external organisations and faith organisations to facilitate participation from all areas of the community.

Accountability

3.13 How will members of your target community contact your service and influence its operation? **Answer in fewer than 300 words**.

The community will at all times have access to our operations teams and board through our website. We have a large variety of contact platforms, including all major social media that will make it easy and quick for the community to access the team. We always promote the fact that we are always looking to tune the content so it's the most relevant it can be and we always ask the audience to participate in tis initiative.

3.14 How will suggestions and/or criticisms from members of your target community(ies) be considered and acted upon? **Answer in fewer than 300 words**.

All communications will initially go to our day-to-day operations team who will at that stage try and resolve any issues of a minor nature. Anything else would then be passed to the board for their consideration. The board will always consider things in context and against the back drop of our key commitments, our strategy and mission statement. An appropriate response through the appropriate response will be give to the person who has engaged us on this matter within a reasonable time period

C-DSP licence: Application form (Part A)

Draft Key Commitments

Below is an example of the licence annex where the Key Commitments appear. Should a licence be awarded, the entries you provide below will form the Key Commitments section of your licence. Holders of a community radio analogue licence that is to be simulcast, or a corresponding service, are expected to provide key commitments that are in line with their existing service(s). As such, applicants may refer to the existing key commitments of the relevant simulcast or corresponding service(s) to ensure that the draft below is in line with those of the existing service(s).

Please provide entries where specified in **BOLD** below. The information you enter here should reflect your answers to Sections 3 and 4 of the Part A of your completed Application Form. This will form the basis of your Key Commitments alongside the mandatory text in italics. Do not amend the text in italics as every service is required to comply with these requirements, but the details of how each service does so do not need to be included in the Key Commitments.

ANNEX TO LICENCE

LICENSED SERVICE NO tbc

Licensed Service	Service Description	Transmission Sched- ule	Multiplex
Spice radio (the on-air name of the programme service as in question 3.1 of this application)>	Spice Radio is a radio service intended to serve NOTE: The next 3 headings below are the components of the 'character of service'. <bame community=""> <newcastle and="" gateshead=""></newcastle></bame>		Newcastle and Gateshead as in question 3.2 of this application, specify if small-scale or local multiplex the radio

cated within the coverage area of the Small-dabove (n.b. the Licensee will not be in breach oceases to fall within the coverage area merely Small-Scale Radio Multiplex Service outside the aracteristics of a Community Digital Sound Pro-Order and, in so doing, shall achieve the fol-	broadcast on>
d above (n.b. the Licensee will not be in breach oceases to fall within the coverage area merely Small-Scale Radio Multiplex Service outside the aracteristics of a Community Digital Sound Pro-	
he expression of opinion,	
of programmes included in the service or other- ndividuals not employed by the person provid-	
articular community and the strengthening of	
all contribute to the operation and manage-	
a	place to ensure it is accountable to its target

4. Compliance of the service

About this section

This section asks you to describe the compliance arrangements for the proposed licensed service, i.e. the arrangements which the applicant will put in place to ensure that the content it proposes to broadcast will comply with the relevant regulatory codes and rules for programming and advertising. These include:

- The Ofcom Broadcasting Code
- The BCAP Code: the UK Code of Broadcast Advertising
- The Phone-paid Services Authority Code of Practice

Condition 17 of a C-DSP licence requires that you have compliance procedures in place, and this section asks that you demonstrate your ability to meet this licence condition.

Before completing this section of the form you should read Section 4 of the <u>C-DSP guidance notes</u>, where you will also find links to the codes and rules listed above.

4.1 Please give details of all compliance training (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e. the individual who holds overall responsibility for compliance of the service) has received in the relevant codes and rules (for example, those referred to in the box at the start of this section).

Sandeep Kapoor will look after the compliance and will be responsible to brief all presenters on the Ofcom Code. Sandeep is very well versed and experienced on this as he has done the same for CR142 Spice FM over the past 14 years

4.2 Please give details of any practical compliance experience (including dates) the person named in response to either question 2.3 or 2.5 in Part B (i.e the individual who holds overall responsibility for compliance of the service) has with respect to the relevant codes and rules.

Sandeep who was the founder of Spice FM has put the original procedures in regards to compliance into place at Spice FM and currently monitors and briefs the same to all presenters, volunteers and management

4.3 For each role within your compliance team please provide job title and a brief description of the functions of the role specific to ensuring compliance of the proposed service. Please do not give names of individual members of staff – this question relates to job roles rather than currently employed individuals.

The compliance will be monitored by the operations management team, and the board collectively. Overall responsibility will be that of Sandeep Kapoor It will be Sandeeps role and responsibility to brief our inhouse compliance training modules to our team who will then in turn brief to the volunteers. Members of the Ops team will support me to monitor compliance by regularly checking content that our presenters are broadcasting and also to investigate any complaints or issues that may come up.

4.4 How does the applicant intend to formally train staff in compliance procedures? Please include details of the compliance training that will be given to those responsible for live programming, including compliance staff, presenters and producers.

As part of our training we have a whole separate module that covers compliance of the ofcom code and how this would be applied on air. In addition reminders and updates are regularly sent via group communications to all the presenters / volunteers. All volunteers have direct access to operations team and board so they are able to double check on any issue they are unsure of. We are always encouraging volunteers and especially on air presenters to ask of anything they are unsure of.

4.5 Will the training described in response to question 4.5 be mandatory for all staff and volunteers? If not, outline who will receive it.

Irrelevant of the role or position in the organisation the training module which includes the compliance module is mandatory for all new volunteers. We also have refresher session for current volunteers that we run from time to time depending on need.

- 4.6 It is a licence requirement that a licensee must ensure that all programming on its service (broadcast at any time of the day or night) complies with Ofcom's codes and rules (e.g. Ofcom's Broadcasting Code, which sets requirements on standards to be observed in programme content for the protection of the public).
 - a) Set out in detail below the systems the applicant intends to have in place to ensure it will be able to comply with the codes and rules when the service is broadcasting live content. Your response should include details of what you will do to prepare presenters and guests pre-broadcast and the process for ensuring that any non-complaint content is dealt with swiftly during the broadcast.

The station will operate content under a strict content policy that will be managed by our operations team. The policy will be a content strategy that our programming director will put into place to ensure we are meeting our key commitments as well as planning content appropriate and complaint with ofcom regulation. This policy is constantly monitored and under review.

Live content in discussion and talk based shows especially will always be vetted in advance of the programme going live by the programme director and comments will be given to the presenter to keep in mind to remain compliant. Experienced presenters will be allowed to take ownership of their own shows and will be expected to brief guests on the same. There will be a procedure that all presenters will be aware of to exercise should they feel our position in regard to compliance is about to be compromised

b) Set out in detail below how the applicant intends to ensure that pre-recorded material will comply with Ofcom's codes and rules. Pre-recorded content could include, for example, material obtained from, or streamed from, third party sources as well as content produced by the licensee.

Apart from News and weather the station is not planning to take any third party content. The news and weather is from a verified radio news service.

Pre-recorded material by presenters will almost be treated as live and we will rely on the presenter to ensure the code is followed. If we feel the content cold possibly cross the line, the programming director will listen to show before airing. In addition, before recording presenters will be again reminded of the code and asked to follow it.

4.7 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to what you will broadcast on the station, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

The board will ultimately monitor closely alongside the operations team to ensure our key commitments are being followed. The board has a lot of experience with the community station we run in this area in ensuring key commitments are met.

We will monitor the key commitments by recording and then analysing the date we will collect. All information about meeting our key commitments will be shared on our social media channels and on our website mainly.

4.8 Please set out how you will ensure ongoing compliance with your Key Commitments that relate to the station's off-air social gain activities, including how you will monitor that these are being delivered e.g. who will be responsible for monitoring this, how often will they monitor it, how you ensure this information is published.

Spice Radio is for the local South Asian, BME and refugee communities of Newcastle and Gateshead. The station entertains, educates and enlightens, and features special programming for various cultural and religious festivals. Members of the target community can shape programming by participating, and via direct access to the station and its management

4.9 What language(s) does the applicant intend to broadcast in?

English, Punjabi, Hindi, Urdu

4.10 For each language listed in response to question 4.9 please provide details of how many compliance team member(s) are fluent in each language and will be responsible for ensuring that content broadcast in that language complies with the Ofcom's code and rules.

Please do not give names of individual members of staff.

All of our board and management are fluent in above languages.

5. Declaration

About this section

This form must be submitted by the applicant named in response to question 2.2. An agent may not sign the form.

The person authorised to make the declaration on behalf of the applicant must print their name and must be one of the following:

- A director of the company or the company secretary where the applicant is a company.
- A designated member where the applicant is a Limited Liability Partnership.

The declaration must also be dated.

- 5.1 I hereby apply to Ofcom for the grant of a licence for the community digital sound programme service described above and declare that the information given in this application form is, to the best of my knowledge and belief, correct.
- 5.2 I further declare and warrant:
 - a) that I am not a disqualified person within the meaning of that expression as defined in Part II of Schedule 2 to the Broadcasting Act 1990, as amended, or as a result of a disqualification order under Section 145 of the Broadcasting Act 1996;
 - b) that having made all reasonable enquiries neither the applicant nor any person controlling the applicant, as a result of the grant to me of the licence, breach any requirement of Schedule 14 to the Communications Act 2003 with regard to the accumulation of interests in broadcasting services or to the restrictions on cross-media interests; and
 - c) that the applicant is not disqualified by virtue of the provisions of section 143 (5) of the Broadcasting Act 1996 in relation to political objects and the provisions of section 144 (3) of the Broadcasting Act 1996 in relation to the provision of false information or through the withholding of information with the intention of misleading Ofcom; and
 - d) that no director or person concerned directly or indirectly in the management of the applicant is subject to a disqualification order as defined by section 145 (1) of the Broadcasting Act 1996.
- I understand that Ofcom reserves the right to revoke the licence (if granted) if at any time any material statement made to Ofcom is found to be false and to have been by the applicant or any member or officer thereof knowing it to be false. I also understand that under sections 144 and 145 of the Broadcasting Act 1996, the provision of false information could incur a criminal conviction and a disqualification from the holding of a Broadcasting Act licence. I further certify that, to the best of my knowledge, any matters which might influ-

ence Ofcom's judgement as to whether the directors and any other individuals and/or bodies corporate with substantial involvement in this application are fit and proper persons to participate in a radio licence, have been made known to Ofcom.

Full name (BLOCK CAPITALS) of the applicant or person authorised to make the application of behalf of the applicant:

SANDEEP KAPOOR

Date of application:

06/04/2022

I am authorised to make this application on behalf of the applicant in my capacity as Director company director

You also need to complete the <u>confidential section (Part B) of the application</u> <u>form</u>